

Sharper upper bounds for q -ary B_2 codes from Toeplitz SDPs

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B_2 codes

Code setting

We consider a q -ary code of length n :

$$C \subseteq [q]^n, \quad [q] = \{0, 1, \dots, q-1\}.$$

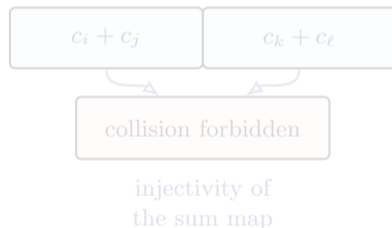
B_2 property

Let $c_i, c_j, c_k, c_\ell \in C$:

$$c_i + c_j = c_k + c_\ell \implies \{i, j\} = \{k, \ell\}$$

where the sum is performed over \mathbb{Z}^n .

- Unique recovery of an unordered pair from its sum.
- Asymptotic rate: $R = \limsup_{n \rightarrow \infty} \frac{1}{n} \log_q |C_n|$.
- Additive collisions become entropy inequalities.



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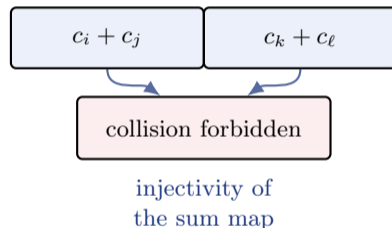
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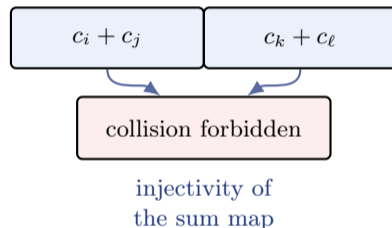
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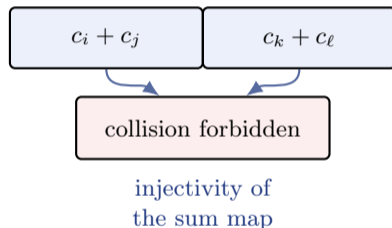
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- 1 Local entropy problem: the difference $D = X - Y$.
- 2 Fourier positivity and Toeplitz PSD constraints.
- 3 Prefix-suffix proof for q -ary B_2 codes.
- 4 Constant-weight adaptation via distance distributions.
- 5 Numerical comparison of the resulting bounds.

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The local object: the i.i.d. difference distribution

Let X, Y be i.i.d. on $[0, q-1]$ with pmf $P = (p_0, \dots, p_{q-1})$, and set

$$D = X - Y, \quad D \in \{-(q-1), \dots, q-1\}.$$

Autocorrelation

$$r_k = \mathbb{P}(D = k) = \sum_{i=0}^{q-1-k} p_i p_{i+k}, \quad 0 \leq k \leq q-1,$$

$$r_{-k} = r_k, \quad r_0 = \sum_i p_i^2 \geq \frac{1}{q}.$$



The key local quantity is $H(D)$.

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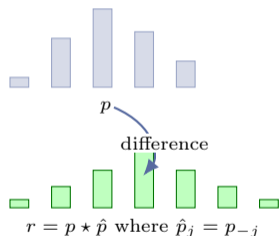
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Fourier positivity: more structure than $r_0 \geq 1/q$

The characteristic function of D is

$$R(\theta) = \mathbb{E} \left[e^{i\theta(X-Y)} \right] = \left| \sum_{j=0}^{q-1} p_j e^{ij\theta} \right|^2 \geq 0.$$

Equivalently,

$$R(\theta) = \sum_{k=-(q-1)}^{q-1} r_k e^{ik\theta}$$

is a nonnegative trigonometric polynomial.

Old relaxation

Only used

$$r_0 \geq \frac{1}{q}.$$

This gives the coarse entropy upper bound \bar{h}_q .

New relaxation

Keep the full Fourier positivity:

$$r \in \Delta, \quad r_{-k} = r_k,$$

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The entropy convex program

Define

$$h_q^* = \sup H(X - Y),$$

where the supremum is over all i.i.d. q -ary X, Y .

Fourier relaxation

$$\begin{aligned} h_q^F &= \max_r && - \sum_{k=-(q-1)}^{q-1} r_k \log r_k \\ \text{s.t. } &r_k \geq 0, && \sum_k r_k = 1, \quad r_{-k} = r_k, \\ &r_0 \geq \frac{1}{q}, && \sum_k r_k e^{ik\theta} \geq 0 \quad \forall \theta. \end{aligned}$$

Theorem (Entropy relaxation)

$$h_q^* \leq h_q^F \leq \bar{h}_q.$$

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Toeplitz SDP and Gram interpretation

Set $r_k = 0$ for $|k| \geq q$ and define

$$T^{(N)}(r) = (r_{i-j})_{0 \leq i, j \leq N-1}.$$

Toeplitz formulation

Fourier positivity is equivalent to

$$T^{(N)}(r) \succeq 0 \quad \text{for all } N \geq 1.$$

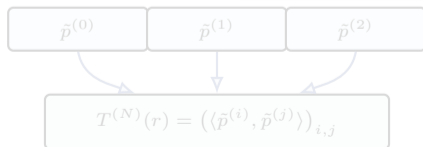
Thus $h_q^F = h_q^{\text{SDP}}$.

Why PSD appears

Let $\tilde{p}^{(i)}$ be shifted copies of p . Then

$$\langle \tilde{p}^{(i)}, \tilde{p}^{(j)} \rangle = r_{i-j}.$$

Hence $T^{(N)}(r)$ is a Gram matrix.



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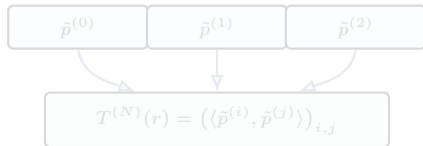
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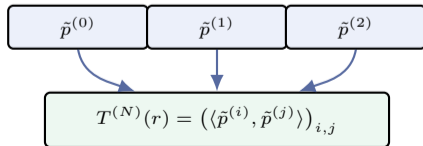
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q -ary result: improved rate upper bound

Theorem (Main q -ary upper bound)

For integer $q \geq 2$, the asymptotic rate R_b of q -ary B_2 codes satisfies

$$R_b \leq \frac{h_q^{\text{F}}}{\log q + h_q^{\text{F}}},$$

where h_q^{F} is the Fourier–Toeplitz entropy bound.

Numerical certification

Impose $T^{(N)}(r) \succeq 0$ only for $N \leq N_{\max}$:

$$h_q^* \leq h_q^{\text{F}} \leq h_{q, N_{\max}}, \quad R_b \leq \frac{h_{q, N_{\max}}}{\log q + h_{q, N_{\max}}}.$$

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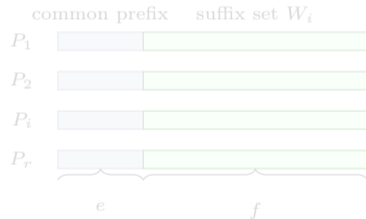
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Proof architecture: prefix–suffix decomposition

Split each codeword into prefix length e and suffix length $f = n - e$:

$$c = (\ell, w), \quad \ell \in [0, q - 1]^e, \quad w \in [0, q - 1]^f.$$

Group codewords by prefix:



$$P_i = \{(\ell_i, w) : w \in W_i\}, \quad |P_i| = M_i.$$

Choose uniformly at random an ordered pair (X, Y) inside the same prefix classes:

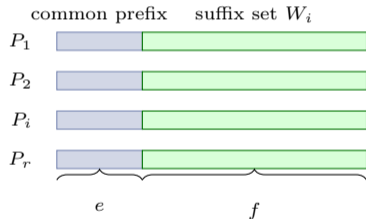
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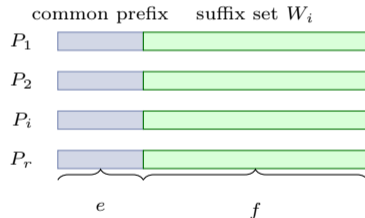
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Key injectivity lemma

Lemma

If C is B_2 , then $(w_1, w_2) \mapsto w_1 - w_2$ is injective on ordered pairs of distinct suffixes drawn inside prefix classes.

Assume two suffix differences coincide:

$$w_1 - w_2 = w_3 - w_4.$$

Then

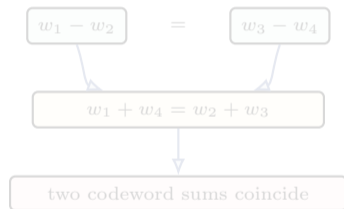
$$w_1 + w_4 = w_2 + w_3.$$

If prefixes are ℓ_h and ℓ_m , this gives

$$(\ell_h, w_1) + (\ell_m, w_4) = (\ell_h, w_2) + (\ell_m, w_3),$$

a forbidden B_2 collision.

Only diagonal pairs $X = Y$ are not covered by injectivity and must be controlled separately.



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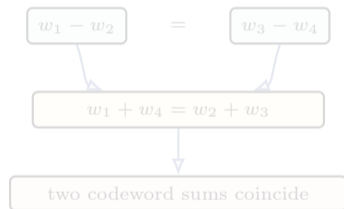
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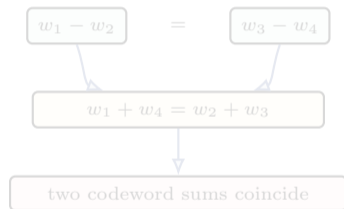
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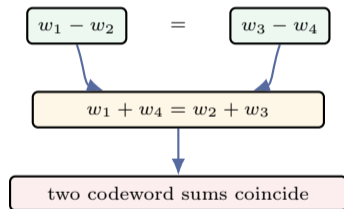
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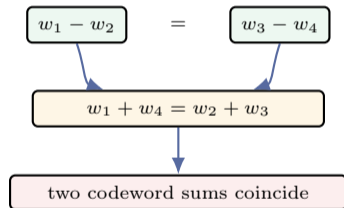
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Entropy accounting in the proof

Let $Z = X - Y \in \mathbb{Z}^f$ be the suffix difference. The injectivity lemma gives

$$H(X, Y) = H(Z) + \mathbb{P}(Z = 0) H(X, Y \mid Z = 0).$$

Zero term

With

$$e = \lceil \log_q(2M) - \log_q \log M \rceil,$$

one has

$$\mathbb{P}(Z = 0) H(X, Y \mid Z = 0) \leq 2.$$

Difference entropy

By subadditivity,

$$H(Z) \leq \sum_{j=1}^f H(Z_j) \leq fh_q^F.$$

Combine with Cauchy-Schwarz, $M^2/r \leq \sum_i M_i^2$, and let $n \rightarrow \infty$.

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Numerical impact for q -ary B_2 codes

| | $q = 9$ | 10 | 11 | 12 | 13 |
|---------------|----------------|----------------|----------------|----------------|----------------|
| New | 0.55792 | 0.55611 | 0.55457 | 0.55323 | 0.55206 |
| Lindström | 0.57551 | 0.56839 | 0.56264 | 0.55789 | 0.55390 |
| Earlier bound | 0.56149 | 0.55966 | 0.55807 | 0.55668 | 0.55545 |
| Wang | 0.55841 | 0.55727 | 0.55626 | 0.55536 | 0.55454 |

Lower-bound

The classical Sidon-set construction, combined with the base- q representation of integers, yields the general asymptotic lower bound

$$R_b \geq \frac{1}{2}.$$

Numerical impact for q -ary B_2 codes

| | $q = 9$ | 10 | 11 | 12 | 13 |
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Constant-weight binary B_2 codes

Let $C^{(w)} \subseteq \{0, 1\}^n$ be B_2 with constant weight

$$w = \alpha n(1 + o(1)), \quad 0 < \alpha < 1.$$

Define the distance distribution

$$B_{2i}^{(w)} = \frac{1}{|C^{(w)}|} \left| \{(x, y) \in C^{(w)} \times C^{(w)} : d(x, y) = 2i\} \right|.$$

If $d(x, y) = 2i$, then $x - y \in \{-1, 0, 1\}^n$ has



i entries $+1$, i entries -1 , $n - 2i$ zeros.

The number of such difference vectors is

$$\binom{n}{i} \binom{n-i}{i} = \binom{n}{2i} \binom{2i}{i}.$$

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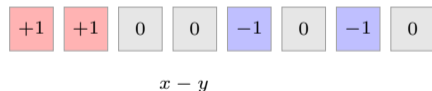
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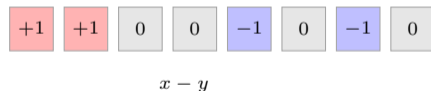
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Cohen–Litsyn–Zémor method in constant weight

The B_2 property again gives injectivity of $(x, y) \mapsto x - y$ for $x \neq y$. For $i = \xi n(1 + o(1))$:

Upper estimate from injectivity

$$\frac{1}{n} \log B_{2\xi n}^{(w)} \leq h(2\xi) + 2\xi - R + o(1).$$

What is missing

To turn this into a rate bound, we need a *forced populated shell*: a distance $2i$ at which the average distance distribution cannot be too small.

This is where Litsyn's constant-weight LP bound enters.

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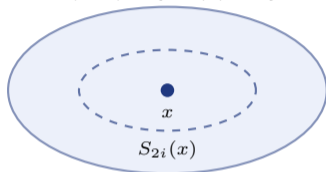
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Where the Litsyn lower estimate comes from

constant-weight space

$$J(n, w) = \{z : |z| = w\}$$



$$\binom{w}{i} \binom{n-w}{i} \text{ words}$$

Inside $J(n, w)$ the distance- $2i$ shell around x is obtained by

$$\underbrace{i \text{ ones}}_{1 \rightarrow 0} \quad \text{and} \quad \underbrace{i \text{ zeros}}_{0 \rightarrow 1}.$$

Hence the natural shell mass is

$$\frac{|S_{2i}(x)|}{|J(n, w)|} = \frac{\binom{w}{i} \binom{n-w}{i}}{\binom{n}{w}}.$$

LP input on the Johnson scheme

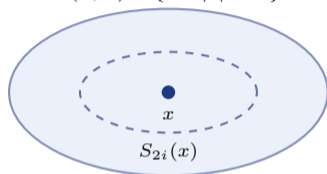
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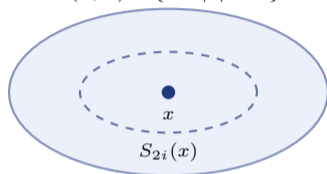
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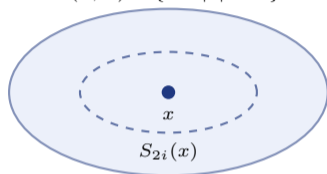
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$$\delta_{\text{LP}}(R, \alpha) = \min_{\substack{0 \leq \beta \leq \alpha \\ h(\beta) = R}} 2 \frac{\alpha(1 - \alpha) - \beta(1 - \beta)}{1 + 2\sqrt{\beta(1 - \beta)}}.$$

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$$\Psi_{\alpha}(\xi) = \frac{1}{2} \left[h(2\xi) + 2\xi + h(\alpha) - \alpha h\left(\frac{\xi}{\alpha}\right) - (1-\alpha)h\left(\frac{\xi}{1-\alpha}\right) \right].$$

Theorem (Constant-weight upper bound)

For every $\alpha \in (0, 1)$,

$$r_{B_2}^{\text{cw}}(\alpha) \leq \rho(\alpha),$$

where $\rho(\alpha)$ is the largest real solution of

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$$\Psi'_{\alpha}(\xi) = \log\left(\frac{1-2\xi}{\sqrt{(\alpha-\xi)(1-\alpha-\xi)}}\right) \geq 0.$$

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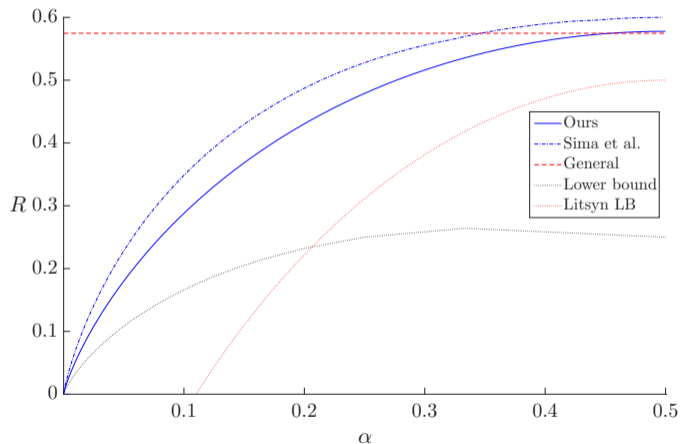
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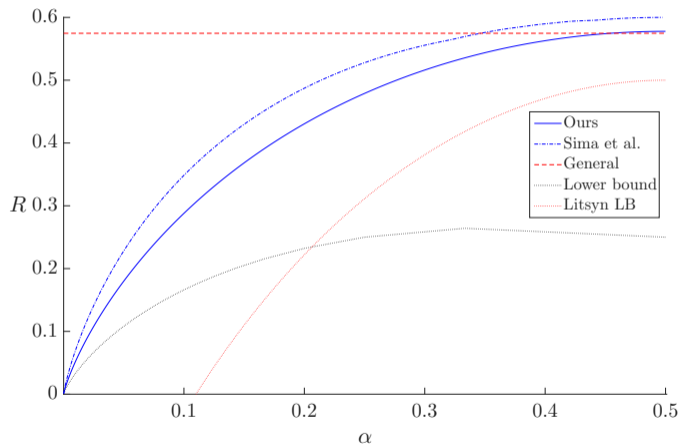
Constant-weight numerical comparison



Observed behavior

- The new curve is below Sima et al. over $\alpha \in (0, 1/2]$.
- It also beats the general B_2 upper bound for $w \leq 0.459n$.
- The lower bounds remains far below the upper bounds: there is room for sharper techniques.

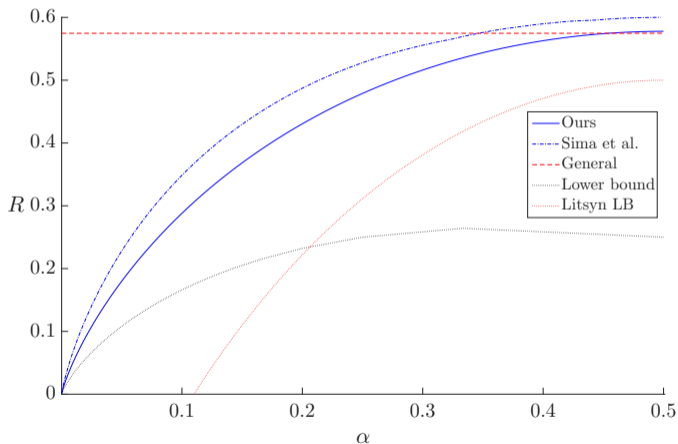
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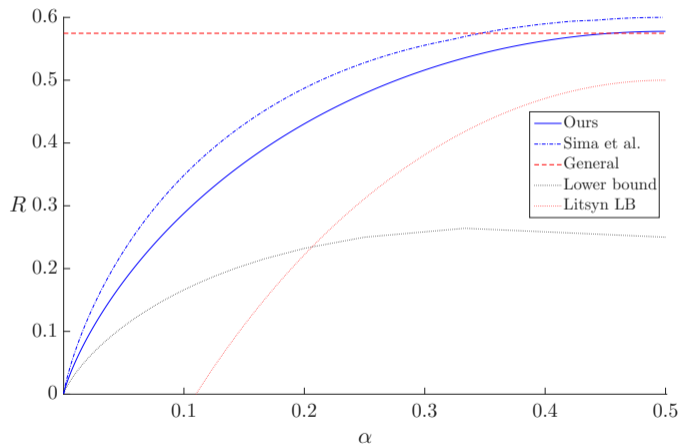
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